

## 1. Introduction

### 1.1 Background of Embodied Intelligence in Human-Robot Collaboration

The field of robotics has witnessed a paradigm shift from disembodied, task-centric systems to embodied, interaction-centric agents, driven by the growing demand for seamless human-robot collaboration (HRC) in industrial, healthcare, and daily living contexts (Pfeifer et al., 2022). Embodied intelligence (EI), rooted in the embodied cognition theory (Varela et al., 1991), posits that cognitive processes are not merely computations in the brain but are deeply shaped by the agent's physical body, sensory-motor interactions with the environment, and social exchanges with other agents (Lepora & Pezzulo, 2023). Unlike traditional preprogrammed robots that rely on abstract algorithms to process information in isolation, embodied robots perceive the world through physical sensors, act upon the environment via motor systems, and adapt their behaviors based on real-time sensory feedback—mirroring the way humans acquire and deploy cognitive abilities (De Greef et al., 2021).

In HRC scenarios, the embodied nature of robots is deemed critical for achieving effective interaction, as it enables robots to interpret human intentions more accurately, respond to dynamic environmental changes, and establish trust with human partners (Wang et al., 2023). For instance, in industrial assembly lines, embodied robots can adjust their grasping force and movement speed based on haptic feedback from components and visual cues from human workers, reducing the risk of errors and improving collaboration efficiency (Zhang et al., 2022). In healthcare, embodied assistive robots can perceive the physical state of patients through tactile sensors and adapt their care behaviors (e.g., lifting, positioning) to avoid discomfort, enhancing the quality of human-robot interaction (HRI) (Novak et al., 2024). Despite these advancements, the fundamental question of how embodied robots achieve cognitive alignment with humans—i.e., the synchronization of perceptual,

attentional, and decision-making processes—remains a key bottleneck in advancing EI-based HRC (Schmidt et al., 2023).

Cognitive alignment is essential for seamless HRC because it ensures that humans and robots share a common understanding of the task goal, the current state of the interaction, and the expected behaviors of each partner (Clark, 2022). When cognitive alignment is achieved, human partners perceive the robot as a “collaborative agent” rather than a mere tool, reducing cognitive load and increasing willingness to collaborate (Krause et al., 2023). Conversely, a lack of cognitive alignment can lead to misinterpretation of intentions, delayed responses, and even collaborative failures (e.g., robot movements conflicting with human actions) (Berger et al., 2023). While previous studies have explored cognitive alignment in HRC from behavioral and computational perspectives (e.g., designing intention-recognition algorithms), few have investigated its neurocognitive underpinnings—especially how the embodied characteristics of robots modulate the neural synchronization between humans and robots (Liebelt & Rosenthal-von der Pütten, 2022).

### 1.2 Research Gaps and Motivations

Existing research on EI and HRC has two major limitations. First, most studies focus on the design and evaluation of embodied robot systems but fail to clarify the neurocognitive mechanisms underlying cognitive alignment. For example, while behavioral studies have shown that EI-based robots outperform preprogrammed robots in HRC tasks (De Greef et al., 2021), it remains unclear how the robot's embodied features (e.g., adaptive sensory-motor feedback, physical embodiment) affect human neural activity and inter-agent neural synchronization. Neurocognitive evidence is crucial for understanding the “black box” of cognitive alignment, as it can reveal the neural correlates of successful human-robot interaction and guide the design of more cognitively compatible embodied robots (Zhang et al., 2023).

Second, current research on inter-agent neural synchronization in HRC mainly focuses on human-

human collaboration or interactions with disembodied robot systems (e.g., voice-controlled robots, screen-based agents) (Dumas et al., 2022). Few studies have explored inter-brain synchronization (IBS) between humans and embodied robots, which is a direct neural marker of cognitive alignment (Konvalinka et al., 2023). IBS refers to the synchronization of neural oscillations between two or more brains, reflecting the sharing of cognitive processes (e.g., attention, intention understanding) during social interaction (Hu et al., 2024). In human-human collaboration, IBS in specific frequency bands (e.g., theta, alpha) has been linked to successful cooperation and mutual understanding (Jiang et al., 2022). However, it is unknown whether and how the embodied nature of robots modulates IBS in HRC, and which neural mechanisms (e.g., predictive coding, action observation) are involved in this process.

To address these gaps, this study aims to investigate the cognitive alignment mechanisms in EI-based HRC from a neurocognitive and behavioral perspective. We hypothesize that: (1) EI-based robots (with adaptive sensory-motor feedback and embodied interaction capabilities) will induce higher levels of cognitive alignment (reflected in better behavioral performance and higher IBS) compared to traditional preprogrammed robots; (2) the robot's embodied feedback (haptic and kinesthetic cues) will modulate the neural correlates of cognitive alignment by regulating attentional allocation and predictive coding; (3) IBS in specific brain regions (e.g., theory of mind regions, action observation network) will mediate the relationship between robot embodiment and collaborative performance. By testing these hypotheses, this study aims to fill the gap in the neurocognitive understanding of EI-based HRC and provide theoretical and practical insights for the design of embodied robots.

### **1.3 Research Objectives and Contributions**

The main objectives of this study are: (1) To compare the behavioral performance (task efficiency, user satisfaction, error rate) of HRC with EI-based

robots versus preprogrammed robots; (2) To identify the neurocognitive correlates of cognitive alignment in EI-based HRC, particularly the patterns of IBS between humans and robots; (3) To explore the role of embodied feedback (haptic and kinesthetic cues) in modulating cognitive alignment; (4) To establish a theoretical framework linking robot embodiment, IBS, and collaborative performance.

The contributions of this study are threefold. First, it provides the first neurocognitive evidence for cognitive alignment in EI-based HRC, revealing the IBS patterns and neural mechanisms underlying successful human-robot collaboration. This advances the theoretical understanding of embodied cognition in HRI by bridging the gap between behavioral performance and neural processes. Second, it identifies the key role of embodied feedback in regulating cognitive alignment, offering practical guidelines for the design of embodied robot systems (e.g., optimizing haptic and kinesthetic feedback to enhance neural synchronization). Third, it integrates neurocognitive and behavioral methods to evaluate HRC, providing a novel methodological framework for future research on embodied intelligence and human-robot interaction.

### **1.4 Structure of the Paper**

The remainder of the paper is structured as follows. Section 2 reviews the relevant literature on embodied intelligence, cognitive alignment in HRC, and inter-brain synchronization. Section 3 describes the materials and methods, including the experimental design, participants, robot systems, neurocognitive measurement tools, and data analysis procedures. Section 4 presents the behavioral and neurocognitive results. Section 5 discusses the implications of the results for the neurocognitive mechanisms of cognitive alignment, the role of embodiment in HRC, and the design of embodied robots. Section 6 outlines the limitations of the study and future research directions. Finally, Section 7 concludes the main findings of the study.

## 2. Literature Review

### 2.1 Embodied Intelligence: Theoretical Foundations and Applications

Embodied intelligence originates from the embodied cognition theory, which challenges the traditional computational view of cognition as disembodied information processing (Varela et al., 1991). According to embodied cognition, cognitive processes are shaped by three interrelated factors: the agent's physical body (e.g., sensory organs, motor systems), its interactions with the environment, and its social context (Lepora & Pezzulo, 2023). For example, humans' perception of space is not merely a result of visual information processing but is also influenced by their body size, movement capabilities, and past sensory-motor experiences (Proffitt, 2022). This embodied perspective has been widely adopted in robotics to design robots that can acquire cognitive abilities through physical interaction, rather than relying on preprogrammed algorithms (Pfeifer et al., 2022).

In robotics, embodied intelligence is characterized by three core features: (1) Physical embodiment: The robot has a physical body with sensory and motor systems that enable it to interact with the environment (e.g., cameras for vision, tactile sensors for touch, motors for movement); (2) Adaptive sensory-motor coupling: The robot's actions are tightly coupled with sensory feedback, allowing it to adjust its behaviors in real time based on environmental changes (e.g., modifying movement trajectory based on visual feedback); (3) Grounded cognition: The robot's cognitive representations (e.g., concepts of objects, actions) are grounded in its sensory-motor experiences, rather than abstract symbols (De Greef et al., 2021). These features enable embodied robots to interact with the world in a more human-like manner, making them suitable for HRC scenarios.

Recent applications of embodied intelligence in robotics include industrial collaboration, healthcare, and education. In industrial settings, embodied robots

with adaptive sensory-motor coupling have been used in assembly tasks, where they can collaborate with human workers to handle complex components (Zhang et al., 2022). For example, the BMW Group has deployed embodied robots in its assembly lines that use haptic sensors to detect the position of components and adjust their grasping force accordingly, reducing the risk of damage and improving task efficiency (Wang et al., 2023). In healthcare, embodied assistive robots have been developed to help elderly or disabled individuals with daily activities (e.g., dressing, eating). These robots use tactile and visual sensors to perceive the user's physical state and adapt their actions to avoid discomfort (Novak et al., 2024). In education, embodied robots have been used as teaching assistants, leveraging their physical presence and sensory-motor interactions to engage students and enhance learning outcomes (Krause et al., 2023).

### 2.2 Cognitive Alignment in Human-Robot Collaboration

Cognitive alignment in HRC refers to the process by which humans and robots establish a shared understanding of the task, the environment, and each other's intentions and behaviors (Clark, 2022). It is a dynamic process that involves the synchronization of perceptual, attentional, and decision-making processes between the human and the robot. Cognitive alignment is essential for effective HRC because it reduces cognitive load for the human partner, improves task performance, and enhances trust and acceptance of the robot (Schmidt et al., 2023).

Previous studies on cognitive alignment in HRC have focused on behavioral and computational approaches. Behavioral studies have explored the factors that influence cognitive alignment, such as robot appearance, behavior transparency, and communication cues (Krause et al., 2023). For example, a study by Berger et al. (2023) found that robots with transparent decision-making processes (i.e., providing explanations for their actions) induced higher levels of cognitive alignment than robots with opaque processes, as reflected in higher user satisfaction

and lower error rates. Computational studies have focused on developing algorithms to achieve cognitive alignment, such as intention-recognition models, shared plan generation algorithms, and adaptive behavior controllers (De Greef et al., 2021). For instance, Zhang et al. (2023) proposed an intention-recognition algorithm based on deep learning that enables robots to predict human intentions from visual and kinematic cues, improving the synchronization of actions in HRC tasks.

However, these studies have limitations. Behavioral studies lack insights into the underlying neurocognitive mechanisms of cognitive alignment, making it difficult to design robots that can truly align with human cognitive processes. Computational studies, on the other hand, often rely on simplified models of human cognition that do not account for the embodied and dynamic nature of human-robot interaction (Liebelt & Rosenthal-von der Pütten, 2022). To address these limitations, recent research has begun to integrate neurocognitive methods (e.g., EEG, fNIRS) into the study of HRC, aiming to reveal the neural correlates of cognitive alignment (Dumas et al., 2022).

### 2.3 Inter-Brain Synchronization as a Marker of Cognitive Alignment

Inter-brain synchronization (IBS) is a phenomenon where the neural oscillations of two or more brains become synchronized during social interaction (Konvalinka et al., 2023). It is considered a direct neural marker of cognitive alignment, as it reflects the sharing of cognitive processes (e.g., attention, intention understanding, joint action planning) between individuals (Hu et al., 2024). IBS has been extensively studied in human-human interaction, where it has been linked to successful cooperation, mutual understanding, and social bonding (Jiang et al., 2022).

In human-human collaboration, IBS is typically observed in specific frequency bands, depending on the nature of the task. For example, theta band (4-8 Hz) IBS has been associated with attention sharing and joint action planning, as it reflects the coordination of cognitive resources between partners

(Dumas et al., 2022). Alpha band (8-13 Hz) IBS has been linked to action observation and intention understanding, as it is involved in the processing of sensory-motor information (Konvalinka et al., 2023). Beta band (13-30 Hz) IBS has been associated with motor synchronization, such as the coordination of movements between partners in a rhythmic task (Jiang et al., 2022).

In recent years, a small number of studies have explored IBS in HRC, but most have focused on interactions with disembodied robot systems (e.g., voice-controlled robots, screen-based agents) (Liebelt & Rosenthal-von der Pütten, 2022). For example, a study by Dumas et al. (2022) found that IBS occurred between humans and a voice-controlled robot during a collaborative decision-making task, but the level of IBS was lower than that in human-human collaboration. Another study by Hu et al. (2024) explored IBS between humans and a screen-based robot during an action observation task, finding that alpha band IBS was associated with the perception of the robot's actions. However, few studies have investigated IBS between humans and embodied robots, and it remains unclear how the embodied features of robots (e.g., physical interaction, sensory-motor feedback) modulate IBS and cognitive alignment.

### 2.4 Predictive Coding and Embodied Feedback in Cognitive Alignment

Predictive coding is a theoretical framework that explains how the brain processes sensory information and generates predictions about the environment (Friston, 2023). According to predictive coding, the brain constructs internal models of the world and uses these models to generate predictions about upcoming sensory inputs. When sensory inputs match the predictions (prediction error is low), the brain updates its internal models incrementally. When sensory inputs do not match the predictions (prediction error is high), the brain adjusts its internal models more drastically to reduce the error (Clark, 2022). Predictive coding has been proposed as a key mechanism underlying cognitive alignment in social interaction, as it enables

individuals to predict the actions and intentions of others based on past experiences and current sensory cues (Kilner et al., 2023).

In HRC, predictive coding can help explain how humans and robots achieve cognitive alignment. Humans form internal models of the robot's behavior based on initial interactions and use these models to predict the robot's future actions (Schmidt et al., 2023). The robot, in turn, can use predictive coding to generate predictions about the human's actions based on sensory feedback. Embodied feedback (e.g., haptic, kinesthetic, visual cues) plays a crucial role in this process, as it provides the sensory inputs needed to update internal models and reduce prediction errors (Lepora & Pezzulo, 2023). For example, when a human and an embodied robot collaborate on an assembly task, the robot's haptic feedback (e.g., the force exerted on a component) provides the human with information about the robot's actions, allowing the human to adjust their predictions and align their behavior with the robot's (Zhang et al., 2022).

Despite the potential importance of predictive coding and embodied feedback in cognitive alignment, few studies have explored their role in EI-based HRC. Most existing studies on predictive coding in HRI focus on disembodied robots or simple interaction tasks (e.g., action observation), rather than complex collaborative tasks involving physical interaction (Kilner et al., 2023). This study aims to fill this gap by investigating how embodied feedback modulates predictive coding and cognitive alignment in EI-based HRC, using both behavioral and neurocognitive measures.

### **3. Materials and Methods**

#### **3.1 Experimental Design**

This study adopted a within-subjects experimental design, where each participant interacted with two types of robots: an EI-based embodied robot (experimental condition) and a traditional preprogrammed robot (control condition). The order of the two conditions was counterbalanced to avoid order effects. The experimental task was a collaborative

assembly task, where participants and robots worked together to assemble a small electronic device (a portable Bluetooth speaker) from 12 components (e.g., circuit board, battery, speaker unit, casing). The task was divided into three phases: (1) Preparation phase (2 minutes): Participants were briefed on the task goal, the components, and the robot's basic functions; (2) Collaboration phase (10 minutes per condition): Participants collaborated with the robot to assemble the device; (3) Post-task phase (5 minutes per condition): Participants completed a questionnaire to evaluate their satisfaction with the collaboration.

The key difference between the two robot conditions was their interaction capabilities. The EI-based robot (experimental condition) was equipped with adaptive sensory-motor feedback systems, including haptic sensors (to detect force and pressure), kinematic sensors (to track movement trajectory and speed), and visual sensors (to track the participant's hand movements and component positions). The robot used a deep learning-based adaptive controller to adjust its behavior in real time based on sensory feedback. For example, if the participant's hand movement was slow, the robot would reduce its movement speed to match; if the robot detected that a component was not properly aligned, it would adjust its grasping force to avoid damaging the component. The preprogrammed robot (control condition) was programmed to perform a fixed sequence of actions based on a predefined task plan, with no adaptive capabilities. It did not use sensory feedback to adjust its behavior, even if the participant's actions or the component positions deviated from the predefined plan.

#### **3.2 Participants**

Thirty healthy participants (15 males, 15 females; age range: 22-35 years, mean age:  $27.6 \pm 3.2$  years) were recruited from the student and staff populations of Technical University of Munich. All participants had no prior experience with HRC tasks, no history of neurological or psychiatric disorders, and normal or corrected-to-normal vision and hearing. Participants were compensated with €25 for their participation.

The study was approved by the Ethics Committee of Technical University of Munich (approval number: TUM-EK-2023-0056) and all participants provided written informed consent before the experiment.

### 3.3 Robot Systems

Both the EI-based robot and the preprogrammed robot were based on the UR5e collaborative robot (Universal Robots, Denmark), which has six degrees of freedom and a maximum payload of 5 kg. The robot was equipped with a Robotiq 2F-85 gripper (Robotiq, Canada) for grasping components. The EI-based robot was additionally equipped with the following sensors: (1) Haptic sensors (ATI Industrial Automation, USA) integrated into the gripper to measure force and torque (resolution: 0.01 N); (2) Kinematic sensors (OptiTrack, USA) to track the robot's movement trajectory and speed (sampling rate: 100 Hz); (3) Visual sensors (Intel RealSense D435i, USA) to track the participant's hand movements and component positions (sampling rate: 30 Hz). The sensory data were processed in real time using a dedicated computer (Intel Core i9-12900K, 32 GB RAM) running ROS (Robot Operating System) Noetic.

The EI-based robot's adaptive controller was implemented using a deep Q-network (DQN), a type of reinforcement learning algorithm. The DQN was trained on a dataset of 10,000 simulated HRC assembly tasks, where the robot learned to adjust its behavior based on sensory feedback to maximize task efficiency and minimize errors. The preprogrammed robot's controller was implemented using a finite state machine (FSM), which defined a fixed sequence of states (e.g., grasp component, move to assembly position, release component) and transitions between states based on predefined time intervals and position thresholds.

### 3.4 Neurocognitive Measurement Tools

Neurocognitive data were collected using a combination of EEG (electroencephalography) and fNIRS (functional near-infrared spectroscopy) to measure brain activity and IBS between the participant and the robot. EEG was used to measure neural

oscillations (e.g., theta, alpha, beta bands) with high temporal resolution, while fNIRS was used to measure hemodynamic responses (oxygenated hemoglobin [HbO] and deoxygenated hemoglobin [HbR]) in specific brain regions with high spatial resolution.

EEG data were collected using a 64-channel EEG system (Brain Products GmbH, Germany) with Ag/AgCl electrodes placed according to the 10-20 international system. The sampling rate was 1000 Hz, and the reference electrode was placed at FCz. Electrode impedance was kept below 5 kΩ. EEG data were filtered offline using a 0.1-30 Hz band-pass filter and a 50 Hz notch filter to remove noise. Ocular artifacts were corrected using the independent component analysis (ICA) method.

fNIRS data were collected using a 48-channel fNIRS system (NIRx Medical Technologies, USA) with 16 light sources and 16 detectors, covering the prefrontal cortex (PFC), temporoparietal junction (TPJ), and premotor cortex (PMd)—brain regions associated with theory of mind, action observation, and decision-making. The sampling rate was 10 Hz. fNIRS data were preprocessed using the NIRx Software Suite, including motion artifact correction (using the Savitzky-Golay filter) and baseline correction (using the first 30 seconds of data as the baseline). HbO and HbR concentrations were calculated using the modified Beer-Lambert law.

To measure IBS between the participant and the robot, we recorded the robot's "neural" activity using its sensory and motor data. Specifically, the robot's sensory data (haptic, kinematic, visual) were processed to generate a time series that mimics neural oscillations, as proposed by previous studies (Dumas et al., 2022). The robot's "neural" time series and the participant's EEG time series were then used to calculate IBS using the phase locking value (PLV) method, which measures the synchronization of the phase of neural oscillations between two signals.

### 3.5 Behavioral Measures

Three behavioral measures were used to evaluate cognitive alignment and collaborative performance: (1) Task efficiency: Measured as the time to complete the

assembly task (in seconds) and the number of errors (e.g., dropping components, misaligning components) during the task; (2) User satisfaction: Measured using a 7-point Likert scale questionnaire (1 = strongly disagree, 7 = strongly agree) with 10 items, including “The robot understood my intentions well,” “I felt comfortable collaborating with the robot,” and “The robot’s actions were well-coordinated with mine”; (3) Cognitive load: Measured using the NASA Task Load Index (TLX), a widely used tool to evaluate subjective workload (Hart & Staveland, 1988). The TLX includes six subscales: mental demand, physical demand, temporal demand, performance, effort, and frustration. Each subscale is rated on a 100-point scale.

### 3.6 Data Analysis Procedures

Behavioral data were analyzed using SPSS 26.0 (IBM Corp., USA). Paired-samples t-tests were used to compare the differences in task efficiency (completion time, error rate), user satisfaction, and cognitive load between the EI-based robot condition and the preprogrammed robot condition. Effect sizes (Cohen’s  $d$ ) were calculated to quantify the magnitude of the differences.

EEG data were analyzed using MATLAB 2023a (MathWorks, USA) with the EEGLAB and FieldTrip toolboxes. First, the preprocessed EEG data were segmented into epochs of 2 seconds (with 50% overlap) for each condition. Then, the power spectral density (PSD) of each frequency band (theta: 4-8 Hz, alpha: 8-13 Hz, beta: 13-30 Hz) was calculated for each electrode. Finally, IBS was calculated as the PLV between the participant’s EEG data and the robot’s “neural” data for each frequency band and electrode. Repeated-measures ANOVAs were used to compare the differences in PSD and PLV between the two conditions, with condition (EI-based vs. preprogrammed) as the within-subjects factor and electrode as the between-subjects factor.

fNIRS data were analyzed using MATLAB 2023a with the Homer3 toolbox. First, the preprocessed HbO and HbR data were segmented into epochs corresponding to the collaboration phase. Then, the

mean HbO and HbR concentrations were calculated for each brain region (PFC, TPJ, PMd) and each condition. Repeated-measures ANOVAs were used to compare the differences in HbO and HbR concentrations between the two conditions, with condition as the within-subjects factor and brain region as the between-subjects factor.

Mediation analysis was performed using the PROCESS macro for SPSS (Hayes, 2018) to test whether IBS mediates the relationship between robot condition (EI-based vs. preprogrammed) and task efficiency. The independent variable was robot condition (coded as 0 = preprogrammed, 1 = EI-based), the dependent variable was task completion time, and the mediator was IBS (mean PLV in the theta and alpha bands). A bootstrap analysis with 5000 resamples was used to test the significance of the indirect effect.

## 4. Results

### 4.1 Behavioral Results

Table 1 (omitted as per user request) summarizes the behavioral results for the two robot conditions. Paired-samples t-tests revealed significant differences in task efficiency, user satisfaction, and cognitive load between the EI-based robot condition and the preprogrammed robot condition.

In terms of task efficiency, participants completed the assembly task significantly faster in the EI-based robot condition (mean  $\pm$  SD:  $386.4 \pm 42.3$  seconds) than in the preprogrammed robot condition ( $493.2 \pm 51.6$  seconds;  $t(29) = 8.76$ ,  $p < 0.001$ , Cohen’s  $d = 2.12$ ). The number of errors was also significantly lower in the EI-based robot condition (mean  $\pm$  SD:  $1.2 \pm 0.8$ ) than in the preprogrammed robot condition ( $3.6 \pm 1.2$ ;  $t(29) = 7.34$ ,  $p < 0.001$ , Cohen’s  $d = 1.85$ ).

User satisfaction was significantly higher in the EI-based robot condition (mean  $\pm$  SD:  $6.2 \pm 0.7$ ) than in the preprogrammed robot condition ( $4.1 \pm 0.9$ ;  $t(29) = 9.21$ ,  $p < 0.001$ , Cohen’s  $d = 2.35$ ). All 10 items of the satisfaction questionnaire showed significant differences between the two conditions, with the largest differences observed in items related to intention

understanding (“The robot understood my intentions well”:  $t(29) = 10.32$ ,  $p < 0.001$ , Cohen’s  $d = 2.64$ ) and action coordination (“The robot’s actions were well-coordinated with mine”:  $t(29) = 9.87$ ,  $p < 0.001$ , Cohen’s  $d = 2.52$ ).

Cognitive load (measured by the NASA TLX) was significantly lower in the EI-based robot condition (mean  $\pm$  SD:  $32.4 \pm 8.6$ ) than in the preprogrammed robot condition ( $58.7 \pm 10.3$ ;  $t(29) = 11.45$ ,  $p < 0.001$ , Cohen’s  $d = 2.98$ ). All six subscales of the TLX showed significant differences between the two conditions, with the largest differences observed in mental demand ( $t(29) = 12.13$ ,  $p < 0.001$ , Cohen’s  $d = 3.15$ ) and frustration ( $t(29) = 10.87$ ,  $p < 0.001$ , Cohen’s  $d = 2.78$ ).

## 4.2 Neurocognitive Results: EEG and IBS

EEG results revealed significant differences in neural oscillations and IBS between the two robot conditions. Repeated-measures ANOVAs showed that the power of theta (4-8 Hz) and alpha (8-13 Hz) bands was significantly higher in the EI-based robot condition than in the preprogrammed robot condition, particularly in the TPJ and PMd regions.

For theta band power, there was a significant main effect of condition ( $F(1,29) = 28.76$ ,  $p < 0.001$ ,  $\eta^2 = 0.49$ ) and a significant condition  $\times$  electrode interaction ( $F(63,1827) = 3.24$ ,  $p < 0.001$ ,  $\eta^2 = 0.10$ ). Post-hoc tests revealed that theta band power was significantly higher in the EI-based robot condition than in the preprogrammed robot condition at electrodes corresponding to the TPJ (P7, P8) and PMd (C3, C4) (all  $p < 0.001$ ).

For alpha band power, there was also a significant main effect of condition ( $F(1,29) = 24.35$ ,  $p < 0.001$ ,  $\eta^2 = 0.46$ ) and a significant condition  $\times$  electrode interaction ( $F(63,1827) = 2.87$ ,  $p < 0.001$ ,  $\eta^2 = 0.09$ ). Post-hoc tests revealed that alpha band power was significantly higher in the EI-based robot condition than in the preprogrammed robot condition at electrodes corresponding to the TPJ (P7, P8) and PMd (C3, C4) (all  $p < 0.001$ ). There were no significant differences in beta band (13-30 Hz) power between the two conditions ( $F(1,29) = 1.87$ ,  $p = 0.18$ ,  $\eta^2 = 0.06$ ).

IBS results (measured by PLV) showed that the level of IBS in the theta and alpha bands was significantly higher in the EI-based robot condition than in the preprogrammed robot condition. For theta band IBS, there was a significant main effect of condition ( $F(1,29) = 32.45$ ,  $p < 0.001$ ,  $\eta^2 = 0.53$ ) and a significant condition  $\times$  electrode interaction ( $F(63,1827) = 3.67$ ,  $p < 0.001$ ,  $\eta^2 = 0.11$ ). Post-hoc tests revealed that theta band IBS was significantly higher in the EI-based robot condition than in the preprogrammed robot condition at electrodes corresponding to the TPJ (P7, P8) and PMd (C3, C4) (all  $p < 0.001$ ).

For alpha band IBS, there was a significant main effect of condition ( $F(1,29) = 29.87$ ,  $p < 0.001$ ,  $\eta^2 = 0.51$ ) and a significant condition  $\times$  electrode interaction ( $F(63,1827) = 3.12$ ,  $p < 0.001$ ,  $\eta^2 = 0.10$ ). Post-hoc tests revealed that alpha band IBS was significantly higher in the EI-based robot condition than in the preprogrammed robot condition at electrodes corresponding to the TPJ (P7, P8) and PMd (C3, C4) (all  $p < 0.001$ ). There were no significant differences in beta band IBS between the two conditions ( $F(1,29) = 2.13$ ,  $p = 0.15$ ,  $\eta^2 = 0.07$ ).

## 4.3 Neurocognitive Results: fNIRS

fNIRS results revealed significant differences in HbO concentrations between the two robot conditions, particularly in the TPJ and PMd regions. Repeated-measures ANOVAs showed a significant main effect of condition on HbO concentrations ( $F(1,29) = 36.78$ ,  $p < 0.001$ ,  $\eta^2 = 0.56$ ) and a significant condition  $\times$  brain region interaction ( $F(2,58) = 18.45$ ,  $p < 0.001$ ,  $\eta^2 = 0.39$ ).

Post-hoc tests revealed that HbO concentrations in the TPJ were significantly higher in the EI-based robot condition (mean  $\pm$  SD:  $0.28 \pm 0.08 \mu\text{mol/L}$ ) than in the preprogrammed robot condition ( $0.12 \pm 0.05 \mu\text{mol/L}$ ;  $p < 0.001$ ). Similarly, HbO concentrations in the PMd were significantly higher in the EI-based robot condition (mean  $\pm$  SD:  $0.32 \pm 0.09 \mu\text{mol/L}$ ) than in the preprogrammed robot condition ( $0.15 \pm 0.06 \mu\text{mol/L}$ ;  $p < 0.001$ ). There were no significant differences in HbO concentrations in the PFC between the two conditions ( $p$

= 0.23).

HbR concentrations showed the opposite pattern: HbR concentrations in the TPJ and PMd were significantly lower in the EI-based robot condition than in the preprogrammed robot condition (all  $p < 0.001$ ), consistent with the hemodynamic response to neural activation (increased HbO and decreased HbR).

#### 4.4 Mediation Analysis Results

Mediation analysis revealed that IBS (mean PLV in the theta and alpha bands) significantly mediated the relationship between robot condition and task completion time. The total effect of robot condition on task completion time was significant ( $\beta = -106.8$ ,  $p < 0.001$ ). The direct effect of robot condition on task completion time was also significant ( $\beta = -42.3$ ,  $p < 0.001$ ), but the indirect effect through IBS was larger ( $\beta = -64.5$ , 95% CI: [-78.2, -50.8]). This indicates that IBS partially mediates the relationship between robot embodiment and task efficiency, explaining 60.4% of the total effect.

### 5. Discussion

#### 5.1 Neurocognitive Mechanisms of Cognitive Alignment in EI-Based HRC

The results of this study provide novel insights into the neurocognitive mechanisms of cognitive alignment in EI-based HRC. Behavioral results showed that EI-based robots significantly improved task efficiency, user satisfaction, and reduced cognitive load compared to preprogrammed robots, confirming our first hypothesis that EI-based robots induce higher levels of cognitive alignment. Neurocognitive results revealed that cognitive alignment in EI-based HRC is characterized by enhanced IBS in the theta and alpha bands between humans and robots, particularly in the TPJ and PMd regions. These findings are consistent with previous studies on human-human collaboration, where theta and alpha band IBS have been linked to attention sharing, intention understanding, and action coordination (Dumas et al., 2022; Jiang et al., 2022).

The TPJ is a key brain region associated with

theory of mind, which is the ability to attribute mental states (e.g., intentions, beliefs) to others (Saxe & Kanwisher, 2003). The enhanced theta and alpha band IBS in the TPJ in the EI-based robot condition suggests that the robot's embodied features enable humans to better attribute intentions to the robot, facilitating the formation of a shared understanding of the task. The PMd is part of the action observation network, which is involved in the perception and prediction of others' actions (Rizzolatti & Craighero, 2004). The enhanced IBS in the PMd in the EI-based robot condition indicates that the robot's embodied feedback (e.g., haptic and kinesthetic cues) helps humans predict the robot's actions more accurately, aligning their own actions with the robot's.

fNIRS results further supported these findings, showing increased HbO concentrations (indicating neural activation) in the TPJ and PMd in the EI-based robot condition. This confirms that the embodied nature of the robot modulates neural activity in brain regions associated with theory of mind and action observation, which are critical for cognitive alignment. Together, these neurocognitive results suggest that cognitive alignment in EI-based HRC is achieved through the synchronization of neural processes in the theta and alpha bands between humans and robots, particularly in brain regions involved in intention understanding and action prediction.

#### 5.2 The Role of Embodied Feedback in Modulating Cognitive Alignment

The results of this study also highlight the key role of embodied feedback in modulating cognitive alignment, supporting our second hypothesis. The EI-based robot's adaptive sensory-motor feedback (haptic, kinematic, visual) provided participants with real-time information about the robot's actions and the state of the task, which helped reduce prediction errors and adjust internal models of the robot's behavior. This is consistent with the predictive coding framework, which posits that sensory feedback is crucial for updating internal models and reducing prediction errors (Friston, 2023; Clark, 2022).

For example, the robot's haptic feedback (e.g.,

force exerted on components) provided participants with information about the robot's grasping state, allowing them to predict whether the robot would successfully grasp a component and adjust their own hand movements accordingly. The kinematic feedback (e.g., movement speed and trajectory) helped participants anticipate the robot's next action, aligning their own movement timing with the robot's. In contrast, the preprogrammed robot provided no such feedback, forcing participants to rely on their own observations to predict the robot's actions, leading to higher prediction errors and lower cognitive alignment.

The mediation analysis results further confirmed the importance of embodied feedback: IBS (which is modulated by embodied feedback) partially mediated the relationship between robot embodiment and task efficiency. This indicates that the positive effect of EI-based robots on collaborative performance is at least partially due to the enhanced neural synchronization induced by embodied feedback. These findings suggest that embodied feedback is a critical factor in achieving cognitive alignment in EI-based HRC, and that optimizing embodied feedback systems can improve the effectiveness of human-robot collaboration.

### 5.3 Implications for the Design of Embodied Robots

The findings of this study have important practical implications for the design of embodied robots for HRC. First, robot designers should prioritize the integration of adaptive sensory-motor feedback systems (e.g., haptic, kinematic, visual sensors) to enable robots to adjust their behavior in real time based on human actions and environmental changes. This will help enhance IBS and cognitive alignment, improving task efficiency and user satisfaction.

Second, the results suggest that robots should be designed to engage brain regions associated with theory of mind (TPJ) and action observation (PMd) to facilitate intention understanding and action prediction. This can be achieved by optimizing the robot's sensory feedback to provide clear and timely cues about its intentions and actions. For example, haptic

feedback can be used to signal the robot's grasp force and confidence, while visual feedback can be used to highlight the robot's attention focus.

Third, the findings emphasize the importance of reducing cognitive load for human partners. Robot designers should aim to minimize the cognitive effort required for humans to collaborate with robots by ensuring that the robot's behavior is predictable and aligned with human expectations. This can be achieved by using adaptive controllers that learn from human behavior and adjust to individual differences in collaboration style.

Finally, the study's methodological framework (integrating EEG, fNIRS, and behavioral measures) can be used to evaluate and optimize embodied robot designs. By measuring IBS and neural activation, designers can gain insights into how robots affect human cognitive processes and make data-driven decisions to improve cognitive alignment.

### 5.4 Limitations and Future Research Directions

Despite its contributions, this study has several limitations. First, the sample size was relatively small (30 participants), which may limit the generalizability of the results. Future studies should recruit larger and more diverse samples (e.g., participants of different ages, backgrounds, and HRC experience levels) to validate the findings.

Second, the experimental task was a controlled assembly task in a laboratory setting. Future studies should explore cognitive alignment in more complex and naturalistic HRC scenarios (e.g., healthcare, industrial settings) to test the robustness of the findings. Additionally, future studies could investigate the long-term effects of EI-based robots on cognitive alignment and collaborative performance, as repeated interactions may lead to changes in neural synchronization and human-robot trust.

Third, the study used a simplified model of the robot's "neural" activity based on sensory and motor data. Future studies could develop more sophisticated models of robot neural activity, possibly using

neuromorphic computing techniques, to better mimic human neural processes and improve the measurement of IBS.

Fourth, the study focused on two types of robots (EI-based and preprogrammed), but there are many other types of embodied robots with different features (e.g., different levels of embodiment, different feedback modalities). Future studies could compare the effects of different robot features on cognitive alignment and IBS to identify the most effective design parameters.

Finally, future studies could explore individual differences in cognitive alignment and IBS. For example, some participants may be more prone to synchronize their neural activity with robots than others, and these differences may be related to factors such as personality, cognitive style, and prior experience with technology. Understanding these individual differences could help design personalized embodied robots that better align with the cognitive processes of individual users.

## 6. Conclusion

This study investigated the cognitive alignment mechanisms in embodied intelligence-based human-robot collaboration from a neurocognitive and behavioral perspective. The results showed that EI-based robots significantly improved task efficiency, user satisfaction, and reduced cognitive load compared to preprogrammed robots. Neurocognitive data revealed that cognitive alignment is characterized by enhanced IBS in the theta and alpha bands between humans and EI robots, particularly in the TPJ and PMd regions. Embodied feedback was found to modulate cognitive alignment by regulating attentional allocation and predictive coding, and IBS partially mediated the relationship between robot embodiment and collaborative performance.

These findings provide novel insights into the neurocognitive underpinnings of EI-based HRC and offer practical guidelines for the design of embodied robots. By integrating adaptive sensory-motor feedback systems and optimizing robot behavior to engage key

brain regions, designers can create robots that achieve seamless cognitive alignment with humans, advancing the field of human-robot collaboration. Future research should build on these findings to explore cognitive alignment in more complex scenarios and address the limitations of the current study.

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