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## ARTICLE

# Structural Design and Geotechnical Analysis of the Atal Tunnel: Engineering Solutions for High-Altitude Challenges

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## ABSTRACT

The Atal Tunnel, located in the Pir Panjal Range of the Himalayas in Himachal Pradesh, India, is the world's longest highway tunnel above 10,000 feet (3,048 meters) with a length of 9.02 kilometers. This study details the tunnel's structural components, safety features, and innovative engineering solutions, supported by data on geotechnical challenges, construction timelines, and performance metrics. This study analyzes the structural design, construction methodologies, and geotechnical challenges of the Atal Tunnel, a 9.02-km highway tunnel in the Himalayas, to evaluate its engineering solutions and impacts. Using geotechnical surveys, construction records, and performance metrics, the study employs the New Austrian Tunneling Method (NATM) framework to assess design adaptations, safety systems, and operational outcomes. The tunnel, constructed under high overburden (up to 1.9 km) and poor rock mass quality (RMR 21–40), achieved stability through adaptive NATM, with a cost escalation from ₹500 crore to ₹3,300 crore. It reduced travel distance by 46 km and time by 4–5 hours, enhancing connectivity and military logistics proving to be a great boon for the development of the country. The Atal Tunnel demonstrates innovative solutions for high-altitude tunneling, offering a model for future infrastructure projects in complex geological settings, with significant socio-economic and strategic benefits.

**Keywords:** Atal Tunnel; New Austrian Tunneling Method (NATM); Structural Design; Geotechnical Challenges; High-altitude Tunneling; Highway Tunnel; Safety Systems; Infrastructure Development

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### ARTICLE INFO

Received: 22 March 2025 | Revised: 15 April 2025 | Accepted: 23 April 2025 | Published Online: 3 May 2025 DOI: <https://doi.org/10.55121/tdr.v3i1.544>

### CITATION

Jain, A., Varma, H., 2025. Structural Design and Geotechnical Analysis of the Atal Tunnel: Engineering Solutions for High-Altitude Challenges. Transportation Development Research. 3(1): 72–81. DOI: <https://doi.org/10.55121/tdr.v3i1.544>

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# 1. Introduction

The Atal Tunnel, a 9.02-km highway tunnel in the Pir Panjal Range of the Himalayas, India, is the world's longest above 10,000 feet (3,048 meters). Located at 3,000–3,071 meters above mean sea level, it connects Manali to the Lahaul-Spiti Valley, ensuring year-round access previously disrupted by heavy snowfall at Rohtang Pass. Executed by the Border Roads Organisation (BRO) and inaugurated in 2020, the tunnel addresses a critical research gap in high-altitude, single-tube highway tunneling, particularly under complex geological conditions like the Seri Nala fault zone and high overburden (up to 1.9 km).

This study investigates:

- (1) How did the New Austrian Tunneling Method (NATM) address geotechnical challenges?
- (2) What structural and safety features ensure operational reliability?
- (3) What are the tunnel's impacts on connectivity, military logistics, and socio-economic development? By analyzing geotechnical data, construction methodologies, and performance metrics, this paper provides insights into advanced tunneling practices and their implications for infrastructure in remote, strategically vital regions <sup>[1]</sup>.

The primary objective of the Atal Tunnel is to provide seamless, year-round connectivity to the Lahaul-Spiti Valley and onward to Ladakh, regions that were previously isolated for nearly six months annually due to heavy snowfall and the closure of the Rohtang Pass. Prior to the tunnel's construction, the pass, blanketed by snow depths of up to 20 meters, rendered the Manali-Keylong route impassable from November to May, severely limiting access to essential supplies, healthcare, and economic opportunities for local communities. The tunnel reduces the travel distance by approximately 46 kilometers and cuts travel time by 4 to 5 hours, transforming the socio-economic fabric of the region. Beyond its civilian benefits, the tunnel serves a critical strategic purpose, ensuring uninterrupted access for military logistics to forward areas along India's northern borders, particularly in Ladakh, a region of heightened geopolitical significance due to its proximity to international boundaries.

The construction of the Atal Tunnel was an extraor-

dinary engineering feat, undertaken in one of the most hostile environments for infrastructure development. The Himalayan region, geologically young and tectonically active, presented a myriad of challenges, including the Seri Nala fault zone, high overburden pressures of up to 1.9 kilometers, and poor rock mass quality with Rock Mass Rating (RMR) values ranging from 21 to 40. These conditions led to frequent rock instability, water ingress, and material inflows, complicating excavation efforts. Additionally, the high-altitude environment, characterized by extreme weather conditions—temperatures plummeting to -30°C, heavy snowfall, and oxygen scarcity—posed significant logistical and human challenges for the construction workforce. The project demanded innovative engineering solutions, rigorous geotechnical investigations, and adaptive construction methodologies to ensure structural integrity and operational safety <sup>[1]</sup>.

The tunnel was constructed using the New Austrian Tunneling Method (NATM), a sophisticated approach that optimizes the use of the surrounding rock mass's self-supporting capacity while incorporating real-time monitoring to adjust support systems dynamically <sup>[2]</sup>. This method was particularly suited to the unpredictable geological conditions of the Pir Panjal Range, allowing engineers to respond to challenges such as sudden water inflows and rock collapses. The tunnel's structural design features a horseshoe-shaped, single-tube, bi-directional configuration with an 8-meter-wide roadway, a 5.525-meter overhead clearance, and an integrated emergency egress tunnel beneath the roadway. Advanced safety systems, including semi-transverse ventilation, fire protection, and real-time monitoring via CCTV and sensors, were incorporated to ensure reliability in this high-altitude, high-risk environment.

Initiated in 2000 with an estimated cost of ₹500 crore, the project faced significant delays and cost escalations, reaching ₹3,300 crore by its completion in 2020. These increases were driven by unforeseen geological complexities, the need for enhanced safety features, and inflationary pressures over the two-decade timeline. The project's evolution—from its announcement in 2000, foundation stone laying in 2002, commencement of drilling in 2010, breakthrough in 2017, to final inauguration in 2020—reflects the perseverance and technical ingenuity required to overcome

these challenges.

The Atal Tunnel's significance extends beyond its engineering marvel. It has catalyzed economic growth in the Lahaul-Spiti Valley by boosting tourism, improving market access for local farmers, and ensuring year-round availability of essential commodities. Strategically, it has strengthened India's defense capabilities by enabling the rapid deployment of troops and supplies to border areas, reducing dependence on weather-dependent routes. The tunnel also serves as a case study in high-altitude tunneling, offering valuable lessons for future infrastructure projects in geologically complex and climatically extreme regions<sup>[3]</sup>.

This paper provides an exhaustive analysis of the Atal Tunnel's structural design, construction methodologies, and the innovative solutions employed to address its unique challenges. It delves into the geotechnical intricacies, engineering strategies, and safety systems that underpin the tunnel's success, while evaluating its operational performance and broader impacts on connectivity, military logistics, and socio-economic development<sup>[3]</sup>. By synthesizing data from project documentation, geotechnical surveys, and performance metrics, this study aims to contribute to the global discourse on advanced tunneling practices and infrastructure development in high-altitude environments. The findings offer a comprehensive resource for engineers, policymakers, and researchers seeking to understand the complexities of such projects and their transformative potential in remote and strategically vital regions<sup>[4]</sup>.

## 2. Literature Review

The construction of tunnels in geologically complex and high-altitude environments, such as the Himalayan region, has been a focal point of civil engineering research due to the unique challenges posed by tectonic activity, extreme weather, and variable rock conditions<sup>[16,17]</sup>. The Atal Tunnel, a 9.02-km highway tunnel in the Himalayas, exemplifies the complexities of high-altitude tunneling. This review synthesizes research on Himalayan tunneling, the New Austrian Tunneling Method (NATM), geotechnical challenges, and infrastructure impacts, highlighting gaps addressed by this study. This literature review synthesizes existing research on Himalayan tunneling, the New Austrian Tunneling Method (NATM), geotechnical challeng-

es, and the strategic and socio-economic impacts of such infrastructure projects, providing a foundation for understanding the Atal Tunnel's design and construction<sup>[5]</sup>.

### 2.1. Himalayan Tunneling: Challenges and Innovations

Himalayan tunneling faces unique challenges due to tectonic activity, high overburden, and variable rock conditions<sup>[7]</sup>. Projects like the Chenab Bridge and Khari-Banihal Railway Tunnel reveal the need for robust geotechnical investigations to mitigate risks like rock instability and water ingress. The Atal Tunnel's alignment, with Rock Mass Rating (RMR) values of 21–40, underscores these challenges, requiring adaptive techniques compared to lower-altitude tunnels like the Gotthard Base Tunnel.

Geotechnical studies emphasize the importance of rock mass classification systems, such as the Rock Mass Rating (RMR) and Q-system, in assessing the stability of Himalayan rock formations. For instance, investigations into Himalayan tunneling projects reveal RMR values often ranging from 20 to 40, indicating poor to disintegrated rock quality, which necessitates robust support systems. The Atal Tunnel's alignment, with RMR values between 21 and 40, aligns with these findings, highlighting the need for adaptive construction techniques to address variable ground conditions. Scholars have also noted the prevalence of water ingress in Himalayan tunnels, often triggered by fault zones or glacial melt, which can destabilize excavations and delay progress. These studies advocate for advanced dewatering and grouting techniques, which were critical in the Atal Tunnel's construction to manage inflows from the Seri Nala fault zone.

### 2.2. The New Austrian Tunneling Method (NATM)

NATM leverages the rock mass's self-supporting capacity, using sequential excavation and real-time monitoring<sup>[8]</sup>. Its application in Himalayan projects, such as the Udhampur-Srinagar-Baramulla Railway, demonstrates its efficacy in weak rock zones. The Atal Tunnel's use of NATM, with dynamic adjustments to shotcrete and rock bolts, aligns with these findings, offering cost-effective solutions despite geological uncertainties.

Studies on NATM applications in Himalayan projects, such as the Udhampur-Srinagar-Baramulla Railway Link, demonstrate its effectiveness in managing weak rock zones and fault lines. These studies stress the importance of instrumentation and monitoring, including convergence meters and pressure cells, to track rock deformation and ensure structural integrity. The Atal Tunnel's use of NATM aligns with these principles, as engineers employed real-time data to adjust shotcrete thickness, rock bolt lengths, and steel rib placements in response to geological variations. Furthermore, research underscores NATM's ability to reduce construction costs compared to traditional methods, although cost escalations in Himalayan projects often arise from unforeseen geological challenges, as seen in the Atal Tunnel's cost increase from ₹500 crore to ₹3,300 crore.

### 2.3. Geomechanical and Environmental Challenges

Himalayan tunnels encounter high overburden (up to 1.9 km) and fault zones like Seri Nala, leading to water ingress and rock collapses<sup>[6,7]</sup>. Studies advocate for advanced dewatering and seismic-resistant designs, which the Atal Tunnel incorporated. Environmental constraints, such as temperatures of -30°C, further complicate logistics, necessitating specialized equipment<sup>[9]</sup>. In the case of the Atal Tunnel, overburden pressures reached up to 1.9 kilometers, aligning with findings from other Himalayan projects where high stresses led to rock squeezing or bursting. Studies advocate for the use of steel ribs, thick shotcrete layers, and reinforced concrete linings to counteract these pressures, strategies that were integral to the Atal Tunnel's design.

Environmental challenges, such as extreme temperatures and heavy snowfall, are also well-documented in Himalayan infrastructure literature. At altitudes above 3,000 meters, low oxygen levels and sub-zero temperatures (down to -30°C in the Rohtang Pass area) impact worker productivity and equipment performance. Research on high-altitude construction highlights the need for specialized equipment, heated workspaces, and logistical planning to maintain progress during winter months. The Atal Tunnel project faced similar constraints, with construction limited

to six months annually due to snow cover, necessitating meticulous scheduling and resource allocation.

Water ingress, a recurring issue in Himalayan tunneling, is another focal point in the literature. Fault zones, such as the Seri Nala encountered during the Atal Tunnel's construction, often act as conduits for groundwater, leading to inflows of riverbed material or glacial melt. Studies recommend pre-grouting, drainage systems, and waterproof linings to mitigate these risks, approaches that were extensively employed in the Atal Tunnel to stabilize the excavation process. Additionally, seismic considerations are critical in the Himalayas, a seismically active region. Research emphasizes the incorporation of flexible support systems and seismic-resistant designs, which were factored into the Atal Tunnel's structural framework to ensure resilience against potential earthquakes.

### 2.4. Strategic and Socio-Economic Impacts

Infrastructure like the Atal Tunnel enhances military logistics in geopolitically sensitive regions like Ladakh<sup>[10]</sup>. Socio-economically, it boosts tourism and market access in isolated areas like Lahaul-Spiti, aligning with findings from projects like the Rohtang Pass Road<sup>[11]</sup>. Unlike railway-focused studies, the Atal Tunnel's highway context offers unique insights.

From a socio-economic perspective, research on Himalayan infrastructure projects emphasizes their transformative impact on remote communities. The isolation of regions like Lahaul-Spiti, cut off for six months annually, limits access to markets, healthcare, and education. Studies on projects like the Rohtang Pass Road highlight how improved connectivity boosts tourism, facilitates agricultural trade, and enhances quality of life. The Atal Tunnel, by reducing travel time by 4 to 5 hours and distance by 46 kilometers, has catalyzed economic activity in Lahaul-Spiti, aligning with findings that infrastructure development in remote areas drives tourism and local entrepreneurship. Furthermore, the tunnel's role in ensuring year-round availability of essential commodities addresses long-standing challenges faced by isolated communities, as documented in socio-economic impact assessments of similar projects<sup>[11]</sup>.

## 2.5. Gaps and Contributions

While Himalayan tunneling is well-studied, high-altitude highway tunnels remain underexplored. This study addresses this gap by analyzing the Atal Tunnel's NATM applications, safety systems, and impacts, providing a comprehensive case study for engineers and policymakers tackling similar terrains<sup>[12]</sup>.

This paper builds on existing research by providing a detailed examination of the Atal Tunnel's structural design, construction methodologies, and performance outcomes<sup>[13]</sup>. It integrates geotechnical data, construction records, and operational metrics to offer a holistic understanding of the project's challenges and successes<sup>[14]</sup>. By analyzing the tunnel's innovative solutions—such as adaptive NATM applications, advanced safety systems, and geotechnical mitigation strategies—this study contributes to the knowledge base on high-altitude tunneling and its implications for regional development and national security. The findings aim to inform future infrastructure projects in similar terrains, offering practical insights for engineers, policymakers, and researchers<sup>[15]</sup>.

## 3. Methodology

This study evaluates the Atal Tunnel's structural design, construction challenges, and impacts using a mixed-methods approach. The methodology is divided into research design, data collection, analytical framework, and construction details.

### 3.1. Research Design

The study employs a case study design to analyze the Atal Tunnel's engineering solutions and outcomes. It addresses three questions: (1) How did NATM address geotechnical challenges? (2) What design features ensure safety and reliability? (3) What are the tunnel's connectivity and socio-economic impacts? Qualitative and quantitative data are integrated to provide a holistic analysis. The research was conducted iteratively, allowing for continuous refinement of hypotheses based on emerging data. For instance, initial assumptions about the dominance of geological challenges were adjusted as logistical and environmental constraints, such as high-altitude weather, emerged

as equally significant factors.

### 3.2. Data Collection

- **Geotechnical Data:** Borehole logs and RMR values (21–40) mapped subsurface conditions, including the Seri Nala fault zone.
- **Construction Records:** Timelines (2010–2020), cost data (₹500 crore to ₹3,300 crore), and incident reports were sourced from BRO and SMEC documents.
- **Performance Metrics:** Traffic data (3,000 cars, 1,500 trucks daily), safety incidents, and economic reports assessed operational outcomes.
- **Qualitative Inputs:** Interviews with BRO engineers and local stakeholders provided insights into challenges and impacts.

### 3.3. Analytical Framework

The analysis was structured around three core areas to systematically evaluate the Atal Tunnel's development and outcomes:

- **Structural Design Analysis:** Engineering drawings were compared with industry standards to evaluate roadway dimensions (8 m), lining thickness (150–450 mm), and safety systems (e.g., fire hydrants every 60 m).
- **Construction Challenges:** Geotechnical data were correlated with delays and costs to assess impacts. Case studies (e.g., Seri Nala inflows) detailed solutions like dewatering and NATM adjustments.
- **Performance Outcomes:** Traffic and economic data were analyzed to quantify time savings (4–5 hours) and tourism growth, with stakeholder inputs evaluating military logistics.

### 3.4. Construction Methodology

The Atal Tunnel was constructed using the New Austrian Tunneling Method (NATM), a flexible and adaptive approach ideally suited to the Himalayan region's unpredictable geology. The construction methodology was executed in four interrelated phases, each informed by real-time data and geotechnical feedback:

The Atal Tunnel was built using NATM, tailored to



Himalayan geology:

- **Geotechnical Investigations:** Pre-construction surveys and in-situ testing identified fault zones and groundwater risks.
- **Excavation and Support:** Drill-and-blast excavation (1–2 m segments) with shotcrete (150–300 mm) and rock bolts (4–6 m) stabilized weak zones. A concrete lining (300–450 mm) ensured long-term integrity.
- **Safety Systems:** A semi-transverse ventilation system, fire hydrants (every 60 m), and CCTV (every 250 m) were installed.
- **Quality Control:** Convergence meters and non-destructive testing ensured compliance, minimizing incidents (fewer than five major events).

### 3.5. Quality Control and Monitoring:

**Instrumentation:** Convergence meters, extensometers, and pressure cells monitored rock deformation, stress, and water ingress, feeding data into NATM decision-making.

**Quality Assurance:** Regular inspections of shotcrete strength, bolt anchorage, and concrete curing ensured com-

pliance with design specifications. Non-destructive testing (e.g., ultrasonic) verified lining integrity.

**Contingency Planning:** Rapid response protocols for water inflows or rock collapses were implemented, leveraging pre-grouting and temporary supports to stabilize affected zones.

**Outcome:** Continuous monitoring minimized risks, with fewer than five major incidents (e.g., Seri Nala inflow) over the decade-long construction.

## 4. Results

### Structural Design Details

The Atal Tunnel, a 9.02-km horseshoe-shaped, single-tube, double-lane tunnel, features an 8-m-wide roadway and 5.525 m overhead clearance. Its design includes a 3.6 x 2.25-m egress tunnel and a crown ventilation duct, supported by shotcrete (150–300 mm), rock bolts (4–6 m), and a concrete lining (300–450 mm). Safety systems, including fire hydrants every 60 m and CCTV every 250 m, align with international standards, ensuring reliability in high-altitude conditions<sup>[8]</sup>. The **Table 1** below shows the Structural specifications of the project.

**Table 1.** Key Structural Specifications of Atal Tunnel.

Parameter	Specification
Length	9.02 km
Altitude	3,060–3,071 m (South to North Portal)
Roadway Width	8 m
Overhead Clearance	5.525 m
Egress Tunnel Dimensions	3.6 x 2.25 m
Ventilation System	Semi-transverse with dual fans
Emergency Exits	Every 500 m

### Geotechnical Challenges

The tunnel faced high overburden (1.9 km) and poor rock quality (RMR 21–40), particularly at the Seri Nala fault zone, where water ingress and material inflows

caused delays. NATM’s adaptive approach, with real-time adjustments to shotcrete and rock bolts, mitigated these risks, reducing major incidents to fewer than five<sup>[7]</sup>. **Table 2** gives details of the same.

**Table 2.** Geotechnical Challenges and Solutions.

Challenge	Description	Solution
Seri Nala Fault Zone	Heavy water ingress and material inflow	Dewatering, grouting, steel ribs
High Overburden	Pressures up to 1.9 km	Thick shotcrete, rock bolts
Poor Rock Mass Quality	RMR 21–40, disintegrated rock	Adaptive NATM support, steel arches

### Construction Timeline and Costs

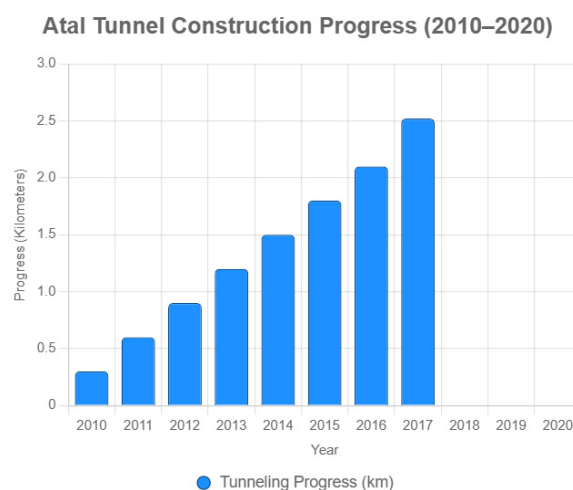
Annual progress varied, with peak excavation in 2017 (Figure 1), reflecting adaptive strategies to overcome environmental constraints. Initiated in 2010 and completed

in 2020, the project's cost escalated from ₹500 crore to ₹3,300 crore due to geological challenges and safety enhancements (Figure 2). Table 3 gives details about the same.

**Table 3.** Construction Timeline.

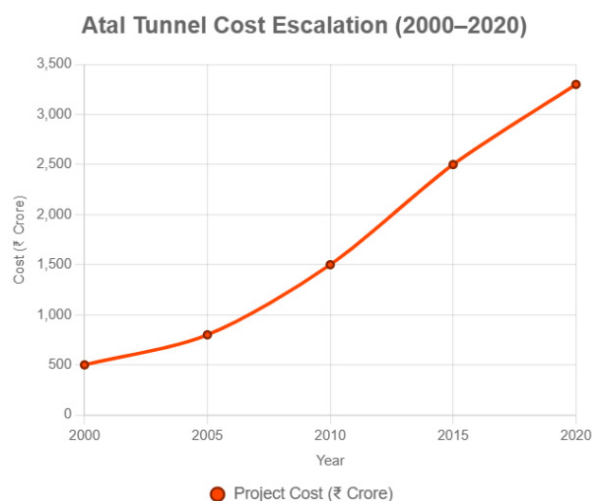
Milestone	Date
Project Announcement	June 3, 2000
Foundation Stone Laid	May 26, 2002
Drilling Began	June 28, 2010
Breakthrough	October 2017
Inauguration	October 3, 2020

The progress of the construction activities of the Atal Tunnel has been shown in Figure 1 below.



**Figure 1.** Bar chart showing annual tunneling progress in kilometers (2010–2020).

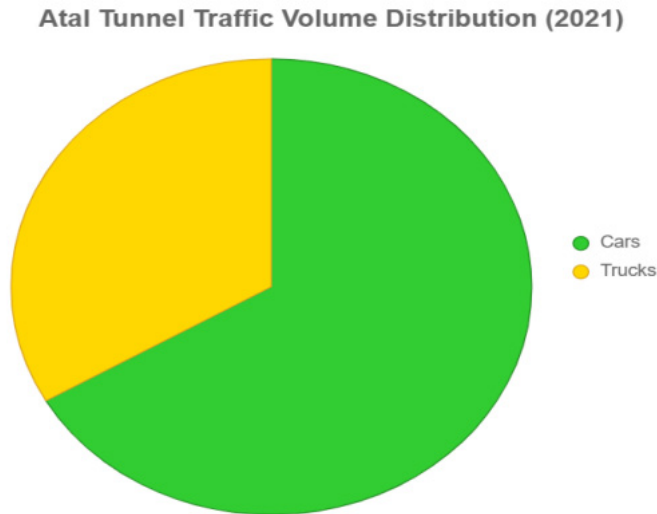
The details of the cost escalation as per the time span have been illustrated in Figure 2 below.



**Figure 2.** Cost Escalation Over Time illustrates the increase in project cost from ₹500 crore (2000) to ₹3,300 crore (2020).

Below is the pie chart illustrating the distribution of daily traffic volume (cars vs. trucks) for the Atal Tunnel in 2021, post-inauguration. The data reflects the tunnel's reported capacity to handle up to 3,000

cars and 1,500 trucks daily, showing the proportional split between these vehicle types. The **Figure 3** below shows the distribution of daily traffic (cars vs. trucks) in 2021.



**Figure 3.** Traffic Volume Post-Inauguration showing the distribution of daily traffic (cars vs. trucks) in 2021.

### Performance Outcomes

The tunnel handles 3,000 cars and 1,500 trucks daily at 80 km/h, reducing travel distance by 46 km and time by 4–5 hours. This has ensured year-round access to Lahaul-Spiti, boosting tourism and market access<sup>[11]</sup>. Strategically, it enhances military logistics to Ladakh, reducing supply chain delays. Safety metrics show minimal incidents, validating the efficacy of ventilation and fire protection systems.

## 5. Conclusions

The Atal Tunnel, a 9.02-km high-altitude highway tunnel, exemplifies advanced tunneling practices through its use of NATM to address geotechnical challenges (e.g., Seri Nala fault zone, RMR 21–40). Its robust design, with an 8-m roadway and comprehensive safety systems, ensures operational reliability. The tunnel reduces travel time by 4–5 hours and distance by 46 km, enhancing connectivity, military logistics, and socio-economic development in Lahaul-Spiti and Ladakh.

Limitations include limited long-term performance data and the high cost escalation (₹500 crore to ₹3,300 crore), reflecting geological uncertainties. The study's findings inform future high-altitude tunneling by highlighting the efficacy of adaptive NATM and safety systems.

Theoretically, it contributes to the discourse on Himalayan infrastructure; practically, it offers a blueprint for projects in tectonically active regions like the Andes or Alps.

The Atal Tunnel's success offers critical insights for future high-altitude tunneling projects. Its use of NATM in a high-overburden, fault-prone environment highlights the method's efficacy in managing geological uncertainties, while its safety systems provide a model for ensuring operational reliability in extreme conditions. The project's challenges underscore the importance of comprehensive geotechnical investigations, adaptive construction strategies, and robust contingency planning to mitigate risks in Himalayan terrains. Furthermore, the tunnel's dual role in enhancing civilian connectivity and military logistics illustrates the broader significance of strategic infrastructure in remote and geopolitically vital regions.

This study contributes to the global discourse on advanced tunneling by providing a detailed case study of the Atal Tunnel, synthesizing geotechnical data, construction records, and performance metrics to offer a comprehensive understanding of its development and impacts. The findings highlight the tunnel's role as a catalyst for regional development, a cornerstone of national security, and a benchmark for engineering excellence. For engineers, the



project emphasizes the need for flexibility and innovation in tackling unpredictable geological conditions. For policymakers, it demonstrates the transformative potential of infrastructure investments in remote areas, balancing economic, social, and strategic objectives. For researchers, it offers a rich dataset for exploring the intersections of engineering, geology, and socio-economic development in high-altitude environments.

Looking forward, the Atal Tunnel serves as a blueprint for future infrastructure projects in challenging terrains, both in India and globally. Its lessons can inform the design and execution of tunnels in other tectonically active or high-altitude regions, such as the Andes or the Alps, where similar geological and environmental challenges prevail. By addressing the complexities of high-altitude tunneling with innovative engineering solutions, the Atal Tunnel not only bridges geographical divides but also sets a precedent for resilient and sustainable infrastructure development. This study, through its detailed analysis, aims to inspire and guide future endeavors, ensuring that the legacy of the Atal Tunnel endures as a symbol of human ingenuity and determination in the face of nature's most formidable challenges.

## Author Contributions

Conceptualization, A.J.; investigation, A.J.; writing—original draft preparation, A.J.; writing—review and editing, H.V.; All authors have read and agreed to the published version of the manuscript.

## Funding

This work received no external funding.

## Institutional Review Board Statement

Not applicable.

## Informed Consent Statement

Not applicable.

## Data Availability Statement

No new data were created.

## Acknowledgments

Not Applicable.

## Conflicts of Interest

The authors declare no conflict of interest.

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